## LAA occlusion





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### **Disclosure Statement**

Research Grants	Biosense Webster, Stereotaxis, Medtronic, St. Jude, Cardiofocus, Abbott			
Consultant / Advisory Board	St. Jude, Edwards, Stereotaxis, Mitralign, Cardiofocus, BMDSys, ACT, Maya, Apama, Topera, Recor, Endosense, SynapticMed,			
Ownership Interests	Cardiac implants, Jena Valve			
Speaker's Bureau Honoraria	Biosense Webster, Medtronic, St. Jude, Abbott, Cardiofocus, Biotronik			
Fellowship Support	None			
Other	None			
Off-label drugs/devices	None			

## Rational for LAA closure device

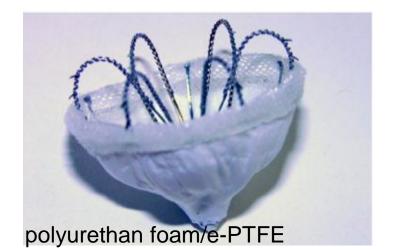
- Left atrial appendage: 90% source of thrombembolic stroke
- Warfarin therapy is associated with a significant bleeding risk
- LAA occlusion and ASS monotherapy may reduce stroke and bleeding risk

## LAA occluder types

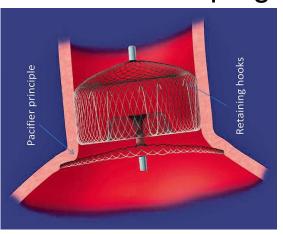
#### Watchman



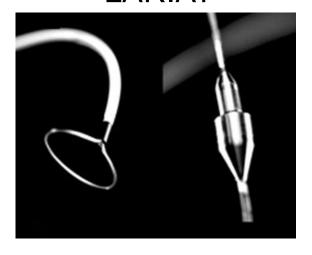
Coherex WaveCrest



**ACP Cardiac plug** 



LARIAT



## Watchman Implantation

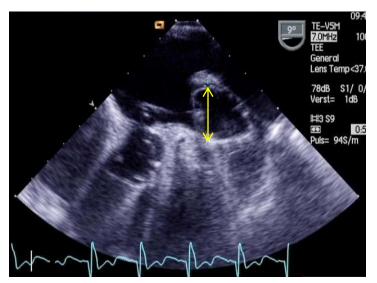
Maximum measured LAA ostium (mm)	Implant diameter (mm)
17 -19.5	21
20 - 22.9	24
23 - 25.9	27
26 – 28.9	30
29 – 31.9	33



 device selection according to measurements

Implantation of 21mm
 Watchman Occluder

## Watchman Implantation

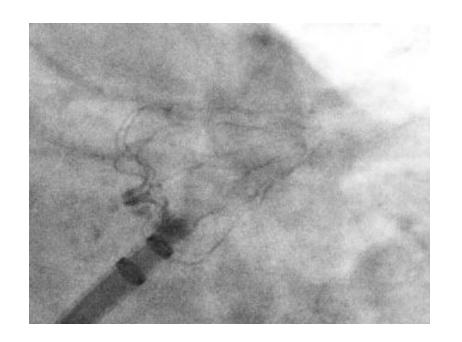


TE-V5M
7.0MHz
TEE
General
Lens Temp
T1/-1/ 1//
1/2 ©
CO Verst =

#4 \$10 ©

#8
Puls= 99S/

- Check position
- Check device compression
- Check residual flow
- Tug test
- Release



## WATCHMAN® Healing Process



Canine Model – 30



Canine Model – 45



Human pathology: 9 months post-implant (non-device related)

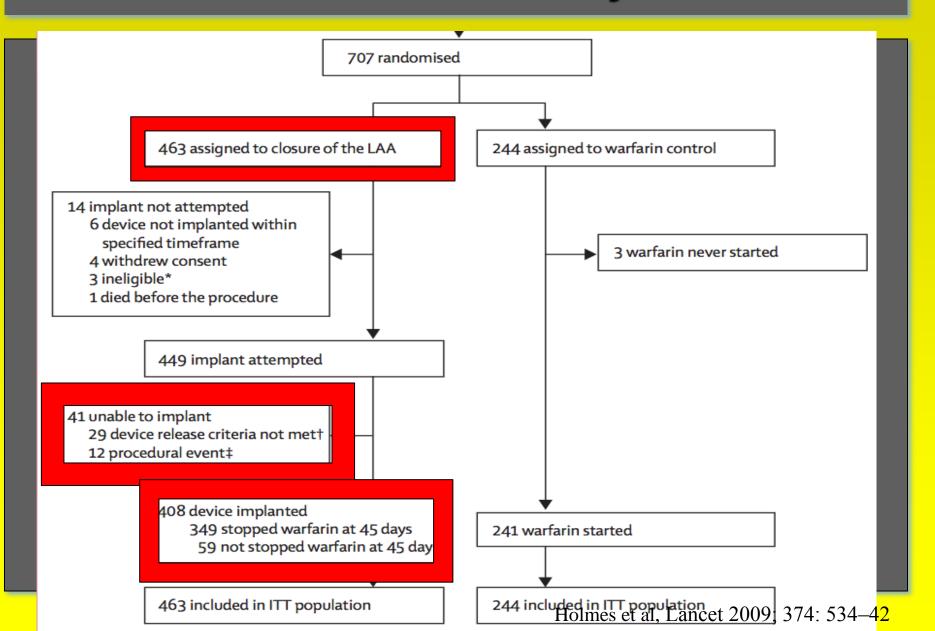


# What evidence do we have?

## WATCHMAN Clinical Studies

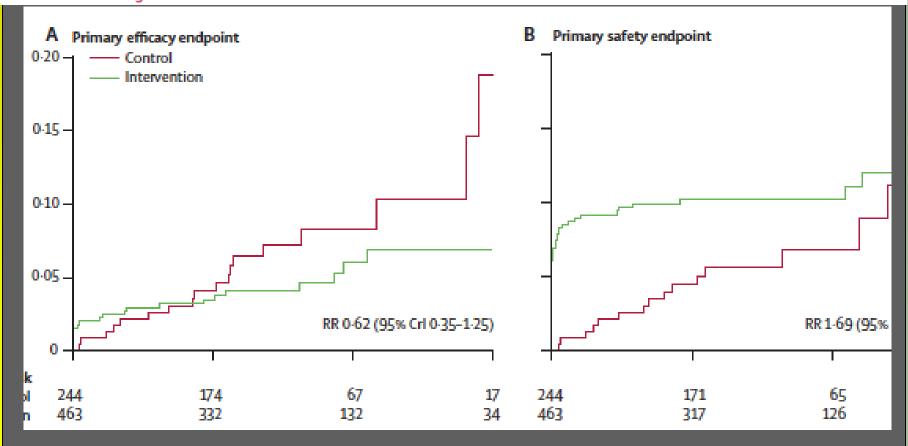
STUDY	PATIENTS	
Pilot	66	<ul> <li>318 patient years of follow-up</li> <li>30 patients with 5+ years of follow-up</li> <li>Enrollment complete, continue to follow patients on annual basis</li> </ul>
PROTECT AF1	800	<ul> <li>1,500 patient years of follow-up</li> <li>27 months average follow-up per patient</li> <li>Enrollment complete, continue to follow patients for 5 years</li> </ul>
Continued Access Registry (CAP) <sup>2</sup>	566	<ul> <li>Significantly improved safety results</li> <li>Enrollment complete, continue to follow patients for 5 years</li> </ul>
ASAP	150	<ul> <li>Treat patients contra-indicated for warfarin</li> <li>Enrollment complete, continue to follow patients for 2 years</li> </ul>
EVOLVE	69	<ul> <li>Evaluate next generation WATCHMAN</li> <li>Enrollment complete, continue to follow patients for 1 year</li> </ul>
PREVAIL	461	<ul> <li>Same endpoints as PROTECT AF</li> <li>Revised inclusion/exclusion criteria</li> <li>Initial enrollment November 2010</li> <li>Enrollment completed, continue to follow patients for 5 years</li> </ul>
Total	2,112	

## **Protect AF Study**



# Percutaneous closure of the left atrial appendage versus warfarin therapy for prevention of stroke in patients with atrial fibrillation: a randomised non-inferiority trial

David R Holmes, Vivek Y Reddy, Zoltan G Turi, Shephal K Doshi, Horst Sievert, Maurice Buchbinder, Christopher M Mullin, Peter Sick, for the PROTECT AF Investigators\*

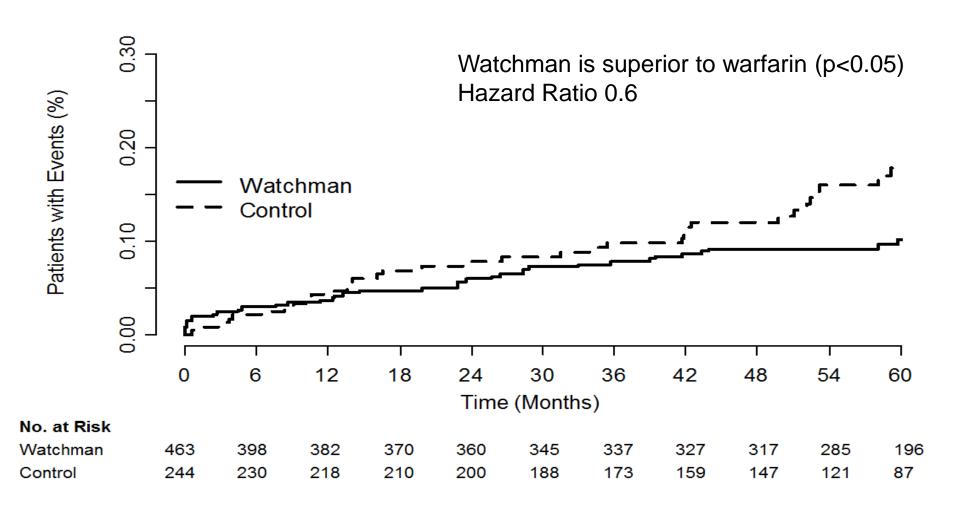


# Percutaneous closure of the left atrial appendage versus warfarin therapy for prevention of stroke in patients with atrial fibrillation: a randomised non-inferiority trial

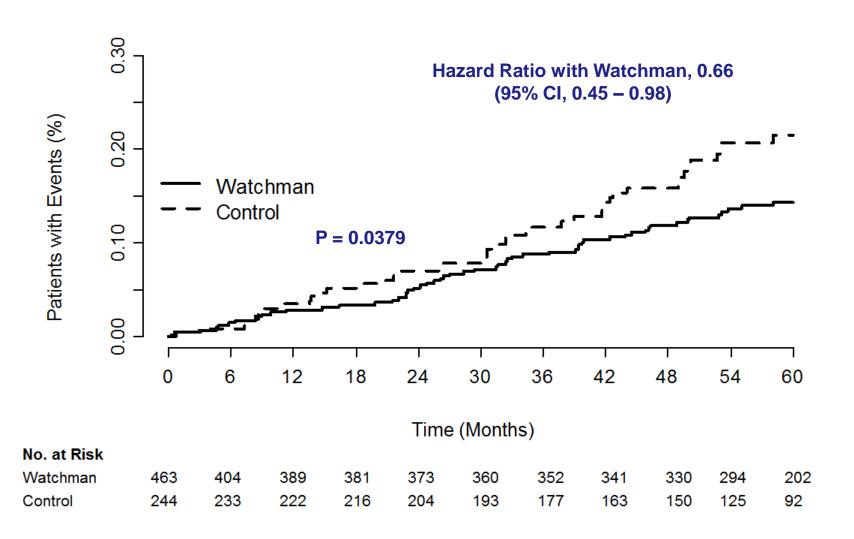
David R Holmes, Vivek Y Reddy, Zoltan G Turi, Shephal K Doshi, Horst Sievert, Maurice Buchbinder, Christopher M Mullin, Peter Sick, for the PROTECT AF Investigators\*

	Intervention (n=463)	Control (n=244)
Serious pericardial effusion*	22 (4.8%)	0
Major bleeding†	16 (3.5%)	10 (4·1%)
Procedure-related ischaemic stroke	5 (1.1%)	0
Device embolisation	3 (0.6%)	0
Haemorrhagic stroke‡	1 (0.2%)	6 (2.5%)
Other§	2 (0.4%)	0

## PROTECT AF 4 yrs FU: Primary Efficacy Endpoint: Stroke, Death, Systemic Embolization

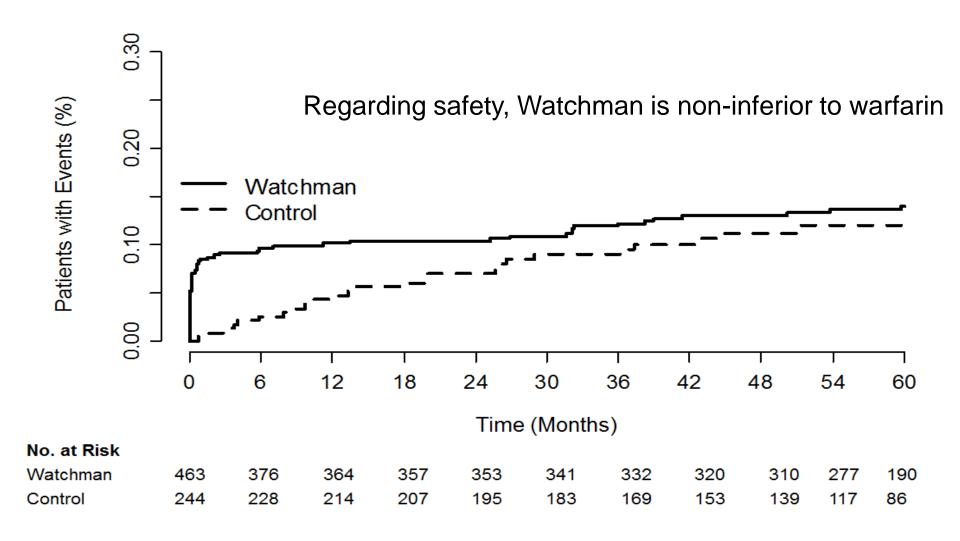


## PROTECT AF 4 yrs FU: Intention-to-Treat: All-Cause Mortality

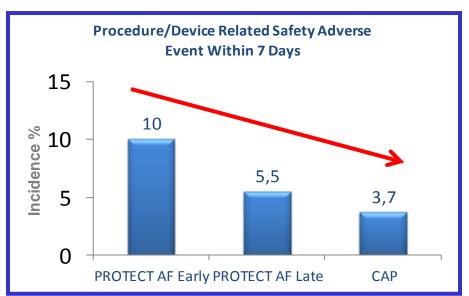


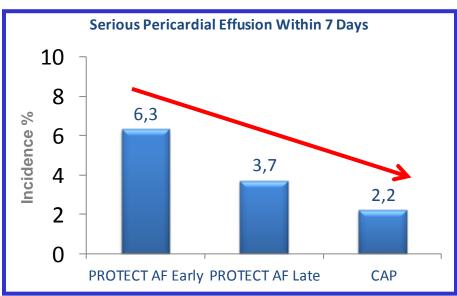
## Primary Safety Endpoint:

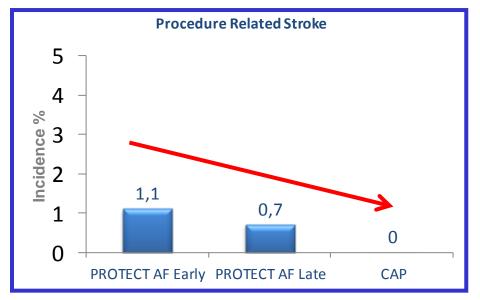
device embolization, pericardial effusion, severe bleeding



## Performance – Learning Curve Effect PROTECT-AF vs. CAP







With increased operator experience, the procedure related adverse events and serious pericardial effusions were reduced significantly. Peri-procedural strokes were eliminated

# Evidence of prevention of ischemic strokes?

#### PROTECT- AF

Interventio	n group			Rate ratio (intervention/ control [95% Crl])	Posterior probabilities	
Events/ patient- years	Observed rate (events per 100 patient-years [95% Crl])	Events/ patient- years	Observed rate (events per 100 patient-years [95% Crl])		Non-inferiority	Superiority
21/694-1	3.0 (1.9-4.5)	18/370-8	4-9 (2-8-7-1)	0-62 (0-35-1-25)	>99-9%	90-0%
15/694-6	2-2 (1-2-3-5)	6/372-3	1-6 (0-6-3-0)	1-34 (0-60-4-29)	71-8%	20-1%
5/708-4	0.7 (0.2-1.5)	10/374-9	27 (1-2-4-4)	0-26 (0-08-0-77)	>99-9%	99-3%
1/708-4	0-1 (0-0-0-5)	6/3/3-4	1.6 (0.6-3.1)	0-09 (0-00-0-45)	>99-9%	99-8%
2/707-8	0-3 (0-0-0-8)	0/374-9	0	-	_	-
16/694-6	2-3 (1-3-3-6)	12/370-8	3-2 (1.6-5-2)	071 (0.35-1.64)	99-3%	76.9%
21/708-4	3-0 (1-9-4-5)	18/374-9	4-8 (2-8-7-1)	0-62 (0-34-1-24)	>99-9%	90.7%
49/658-8	7-4 (5-5-9-7)	16/364-2	4-4 (2-5-67)	1-69 (1-01-3-19)	-	-
ulation§						
11/593-6	1-9 (1-0-3-2)	17/370-2	4-6 (2-6-6-8)	0-40 (0-19-0-91)	>99-9%	98-6%
9/592-1	1-5 (0-7-2-8)	16/363-6	4-4 (2-5-67)	0-35 (0-15-0-80)	-	-
	Events/ patient- years  21/694-1  15/694-6  5/708-4  2/707-8  16/694-6  21/708-4  49/658-8  ulations  11/593-6	patient- years [95% Crl])  21/694-1 3-0 (1-9-4-5)  15/694-6 2-2 (1-2-3-5)  5/708-4 0-7 (0-2-1-5)  1/708-4 0-1 (0-0-0-5)  2/707-8 0-3 (0-0-0-8)  16/694-6 2-3 (1-3-3-6)  21/708-4 3-0 (1-9-4-5)  49/658-8 7-4 (5-5-9-7)  ulations  11/593-6 1-9 (1-0-3-2)	Events/ patient- per 100 patient-years patient- years [95% Crl])	Events/ patient- per 100 patient-years years [95% Crl])  21/694-1 3-0 (1-9-4-5) 18/370-8 4-9 (2-8-7-1)  15/694-6 2-2 (1-2-3-5) 6/372-3 1-6 (0-6-3-0)  5/708-4 0-7 (0-2-1-5) 10/374-9 2-7 (1-2-4-4)  1/708-4 0-1 (0-0-0-5) 6/373-4 1-6 (0-6-3-1)  2/707-8 0-3 (0-0-0-8) 0/374-9 0  16/694-6 2-3 (1-3-3-6) 12/370-8 3-2 (1-6-5-2)  21/708-4 3-0 (1-9-4-5) 18/374-9 4-8 (2-8-7-1)  49/658-8 7-4 (5-5-9-7) 16/364-2 4-4 (2-5-6-7)  Ulations  11/593-6 1-9 (1-0-3-2) 17/3/0-2 4-6 (2-6-6-8)	Events/ Observed rate (events patient- years years   95% Crl)   18/370-8   49 (2-8-7-1)   0-62 (0-35-1-25)   15/694-6   2-2 (1-2-3-5)   10/374-9   27 (1-2-4-4)   0-26 (0-08-0-77)   17/08-4   0-1 (0-0-0-5)   6/373-4   1-6 (0-6-3-1)   0-09 (0-00-0-45)   21/707-8   0-3 (0-0-0-8)   0/374-9   0   0-1 (0-35-1-64)   21/708-4   3-0 (1-9-4-5)   18/370-8   3-2 (1-6-5-2)   0-71 (0-35-1-64)   21/708-4   3-0 (1-9-4-5)   18/374-9   4-8 (2-8-7-1)   0-62 (0-34-1-24)   49/658-8   7-4 (5-5-9-7)   16/364-2   4-4 (2-5-67)   1-69 (1-01-3-19)   0-40 (0-19-0-91)	Events/ patient- per 100 patient- years [95% Crl])  21/6941 30 (1-9-4-5) 18/370-8 4-9 (2-8-7-1) 0-62 (0-35-1-25) >99-9% 15/6946 2-2 (1-2-3-5) 6/372-3 1-6 (0-6-3-0) 1-34 (0-60-4-29) 71-8% 5/708-4 0-7 (0-2-1-5) 10/374-9 2-7 (1-2-4-4) 0-26 (0-08-0-77) >99-9% 17/08-4 0-1 (0-0-0-5) 6/373-4 1-6 (0-6-3-1) 0-09 (0-00-0-45) >99-9% 17/08-8 0-3 (0-0-0-8) 0/374-9 0

Cri-credible interval. ITT- intention-to-treat. —not applicable. Different events have different numbers of patient-years because patients without an event or lost to follow-up were censored at the time of the last known event status. Posterior probabilities of non-inferiority are based on a two-fold non-inferiority margin. Posterior probabilities and Cris are based on a Bayesian model stratified by CHADS2 score. "The ITT population consists of all randomised patients (intervention, n=463; control=244), †The primary composite endpoint for efficacy was the occurrence of stroke (including ischaemic or haemorrhagic stroke), cardiovascular or unexplained death, or systemic embolism. ‡The primary composite endpoint for safety consisted of events related to excessive bleeding (eg. intracranial or gastrointestinal bleeding) or procedure-related complications (eg. serious pericardial effusion, device embolisation, procedure-related stroke). §Successful treatment was defined in the intervention group as device implantation followed by discontinuation of warfarin and in the control group as the start of warfarin treatment (intervention, n=389; control=241).

## Coprimary Efficacy Endpoint Observed Events by Type: PREVAIL

	<b>Device Group</b>			Control Group		
	No. of Events	% of Subjects	% of Endpoints	No. of Events	% of Subjects	% of Endpoints
Ischemic stroke	5	1.9	35.7	1	0.7	25.0
Hemorrhagic stroke	1	0.4	7.1	0	0.0	0.0
Death (cardiovascular/unexplained)	7	2.6	50.0	3	2.2	75.0
Systemic embolism	1	0.4	7.1	0	0.0	0.0

<sup>\*</sup>Endpoint analysis was based on the initial event per-patient even if a patient experienced multiple events.

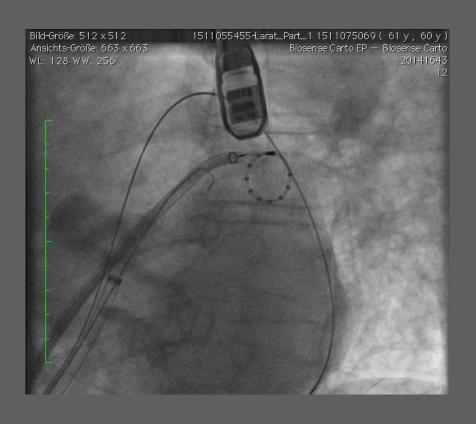
PREVAIL = Watchman LAA Closure Device in Patients With Atrial Fibrillation Versus Long Term Warfarin Therapy.

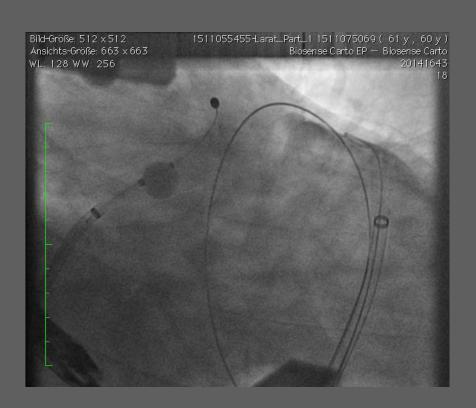


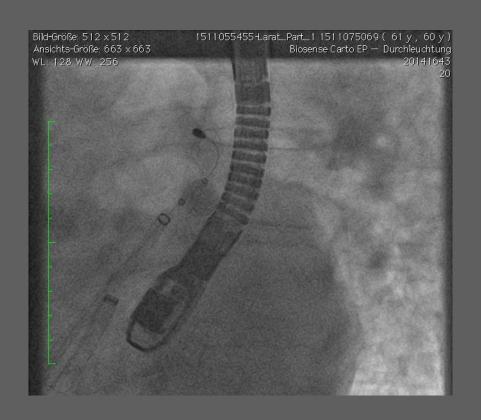
## Dry Epicardial Puncture with Micropuncture Needle



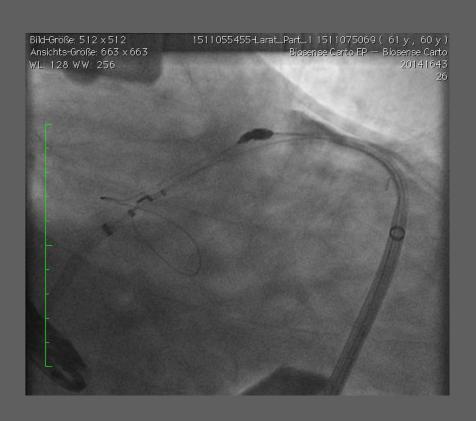
## LAA Angiography

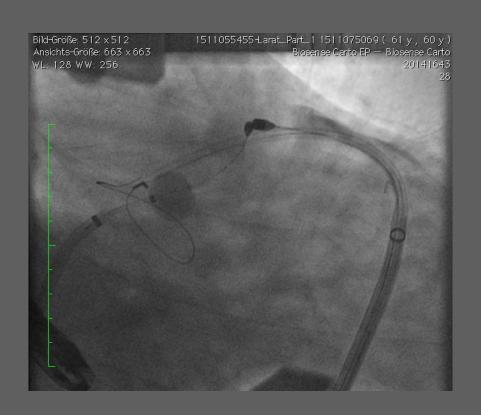




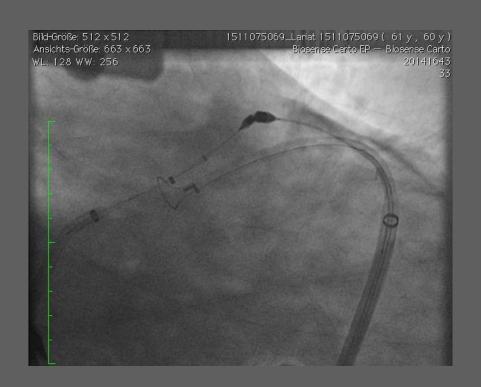


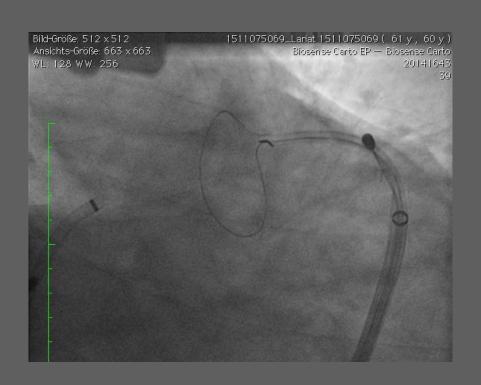






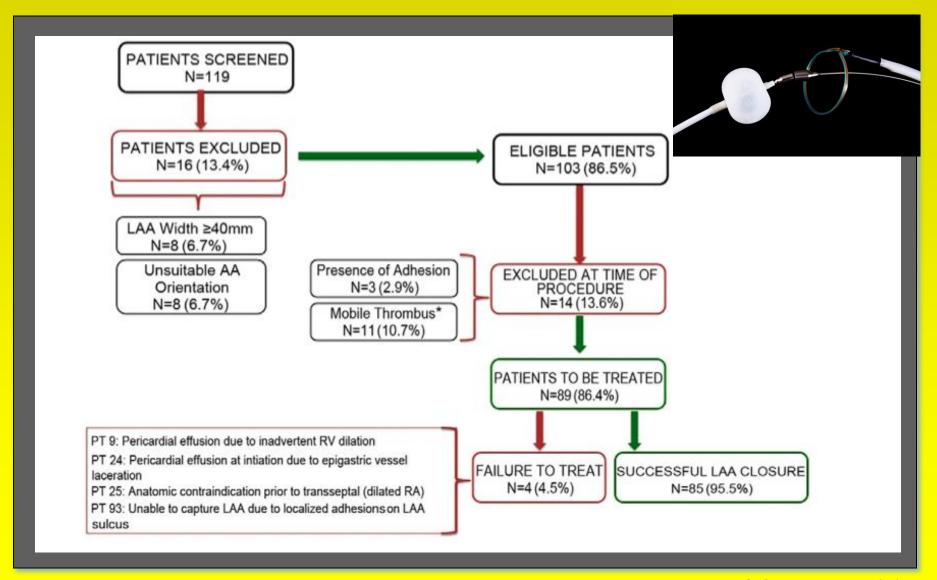








#### Patients Screened for LAA Exclusion (LARIAT)



#### Results



- Eighty-five (96%) of 89 pts underwent successful LAA ligation. 81/85 patients had complete closure immediately. 4/85 patients had a ≤3mm residual LAA leak jet by TEE.
- Complications: 3 access- related complications (during pericardial access, n = 2; and transseptal catheterization, n = 1).
- Adverse events included severe pericarditis post-operatively (n = 2), late pericardial effusion (n = 1), unexplained sudden death (n = 2), and late strokes thought to be non-embolic (n = 2).

## Results: Follow up

• At 1 month (81 of 85) and 3 months (77 of 81) post-ligation, 95% of the patients had complete LAA closure by TEE. Of the patients undergoing 1-year TEE (n = 65), there was 98% complete LAA closure, including the patients with previous leaks.

HAMBURG experience:
<b>Baseline characteristics</b>

Baseline characteristics					
Total number of patients: n	8				
Age [yrs.]	67±6				
Male [%]	50 (4)				
LA diam TEE [mm]	50±7				
LAA flow [m/s]	$0.2 \pm 0.1$				
Oral anticoagulation before procedure [%] Warfarin [%] NOAK [%]	100 (8) 50 (4) 50 (4)				
CHA2DS2-VASc score	3±1				
HASBLED score	$1,9\pm0.8$				
INR before procedure [%]	$1,7\pm0.6$				
History of LAA thrombus [%]	12,5 (1)				
Arrhythmia before LAA isolation: n (%) Paroxysmal AF Persistent AF/AT	1 (13%) 7 (8.8%)				
Number of ablation prior LAA closure	3±2				

## **HAMBURG** experience

Procedural data				
Successful LAA ligation without any				
residual leak [%]	100 (8)			
Leakage after LAA ligation [%]	0			
Procedure duration [min]	98±23			
Flouroscopy time [min]	17±5			
Flouroscopy dosage [cGy*cm2]	11456±14405			
Adverse events: n [%] pericardial effusion due to injury				
of a subcutaneous vessel	12,5 (1)			
Pericarditis	38 (3)			
Rhythm at discharge				
SR: n [%]	87,5 (7)			
AF: n [%]	12,5 (1)			

#### LAA Isolation Improves Ablation Outcomes

## Circulation



Learn and Live sm

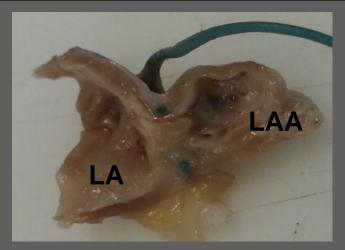
JOURNAL OF THE AMERICAN HEART ASSOCIATION

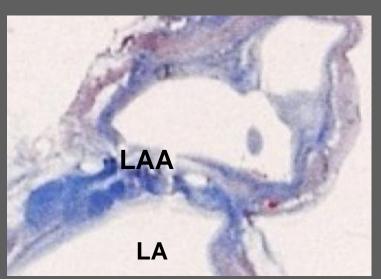
## Left Atrial Appendage: An Underrecognized Trigger Site of Atrial Fibrillation

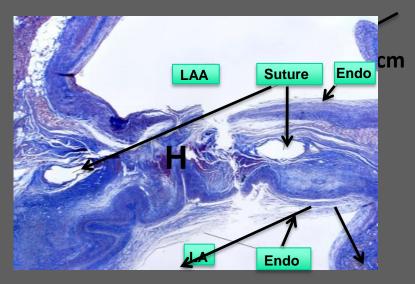
Luigi Di Biase, J. David Burkhardt, Prasant Mohanty, Javier Sanchez, Sanghamitra Mohanty, Rodney Horton, G. Joseph Gallinghouse, Shane M. Bailey, Jason D. Zagrodzky, Pasquale Santangeli, Steven Hao, Richard Hongo, Salwa Beheiry, Sakis Themistoclakis, Aldo Bonso, Antonio Rossillo, Andrea Corrado, Antonio Raviele, Amin Al-Ahmad, Paul Wang, Jennifer E. Cummings, Robert A. Schweikert, Gemma Pelargonio, Antonio Dello Russo, Michela Casella, Pietro Santarelli, William R. Lewis and Andrea Natale

Circulation. 2010;122:109-118

## LAA ligation results in a permanent transmural lesion

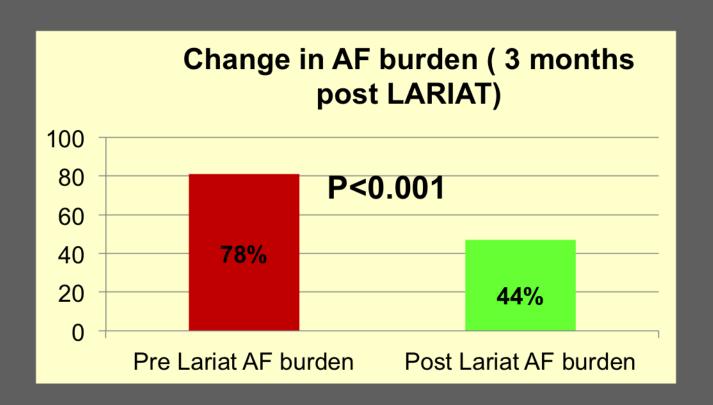






Bartus et al., Circ Arrhythmia 7:764-767, 2014

## Effect of Epicardial Percutaneous LAA Ligation on Arrhythmia Burden in Patients with AF



#### Indication for LAA occluder device

- AF and stroke/TIA/TE/LAA thrombus despite OAC/NOAC
- Pts on hemodialysis and bleeding on warfarin (contraindication for NOACs)
- Patients unwilling or intolerance to NOAC
- Contraindication to NOAC: LARIAT only
- Patients compliance: LARIAT only

#### **Conclusions I: LAA occulsion**

- Both endo and epicardial LAA occluder devices are an excellent treatment for selected pts with AF
- In experienced hands, periprocedural complication rate is acceptable
- Lifelong ASS therapy is mandatory following endocardial device implantation according to present data, but not for epicardial device implantation
- Clinical data following LAA occluder implantation is sparse
- Therefore OAC therapy with warfarin/NOACs remains the gold standard in the majority of pts

#### **Conclusions**

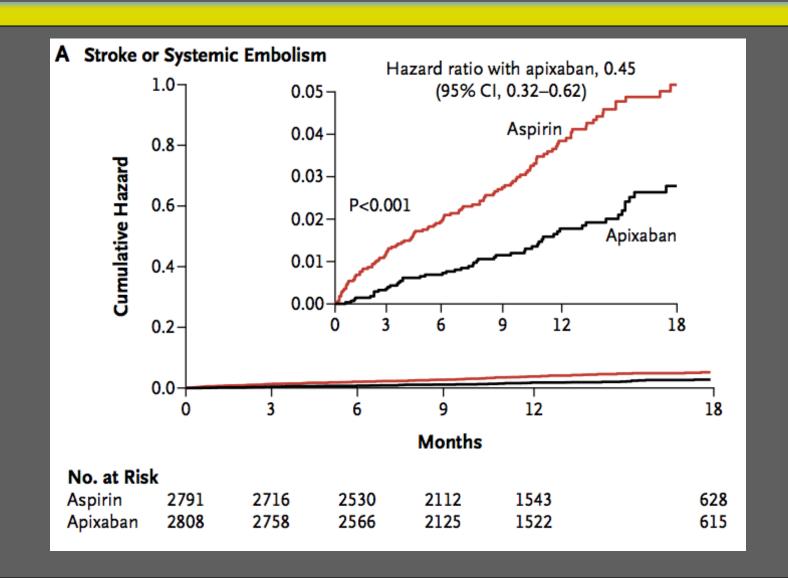
- Epicardial LAA closure device is an excellent treatment option for patients with AF and high risk for embolic stroke and have contraindications/intolerance to anticoagulation therapy.
- Initial results are encouraging. Beneficial effect of this device needs to be proven by randomized trials.



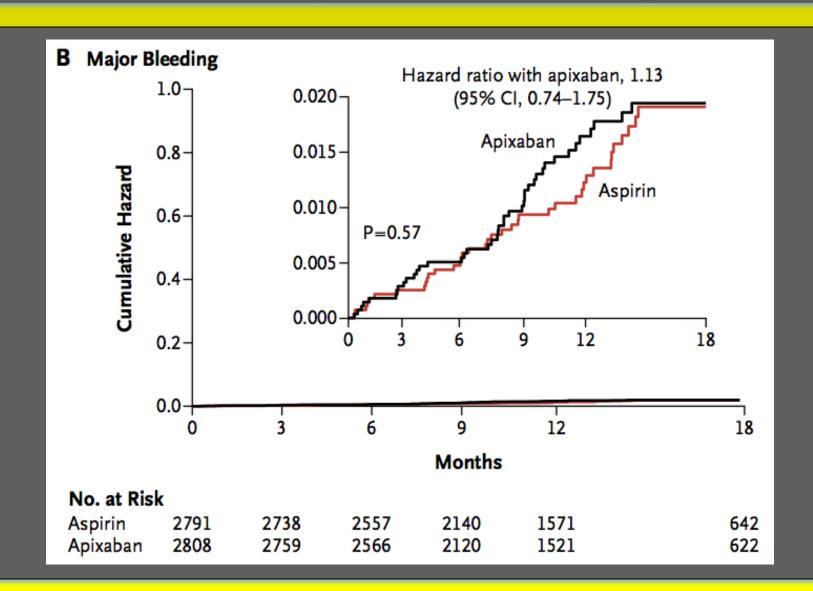
#### Agenda

- Background
- Lariat System
- Case
- Clinical experience

#### AVERROES Trial ASS vs. Apixaban: Schlaganfall/Embolie



## AVERROES Trial ASS vs. Apixaban: Blutungen



# LAA Ligation in AF Patients at High Risk for Embolic Events with Long-term Ineligibility to Oral Anticoagulants: Long-term clinical outcomes

Randy Lee, MD, PhD Professor of Medicine

#### Multi-center Observational Study

- Sankt Katharinen Hospital, CVC, Frankfurt Germany (H. Sievert)
- St Luke's Hospital, Houston, TX (A. Rasekh and A. Massumi)
- John Paul II Hospital, Krakow, Poland (K. Bartus)
- St Mary's Hospital, San Francisco (R. Morelli, N. Badhwar and R. J. Lee)
- University of California, San Francisco (N. Badhwar and R. J. Lee)

#### **Study Objectives**

- Determine efficacy of LAA Closure with the LARIAT device
- Assess procedural and 30 day peri-procedural safety
- Long-term clinical follow up

#### **Patient Cohort**

- 143 consecutive AF patients with longterm ineligibility to OAC therapy
- Patients underwent attempted LAA ligation with the LARIAT device
- Patients did not receive OAC therapy after LAA ligation
- Patients received ASA, ASA with clopidogrel or no anti-platelet therapy after LAA ligation
- Dec 2009 through Nov 2012

#### **Long-term Clinical Endpoints**

- Patients followed for their first event of:
  - Stroke (ischemic, hemorrhagic or undefined) or systemic embolism
  - Stroke, systemic embolism or death of any cause

#### **Inclusion Criteria**

- Age 18 years or older
- Non-valvular atrial fibrillation
- At least one risk factor of embolic stroke (CHADS >1)
- Ineligible for long-term OAC therapy
- A life expectancy of at least 1 year

#### **Exclusion Criteria**

- History of pericarditis
- History of cardiac surgery
- Thoracic radiation
- Pectus excavatum
- Recent MI within 3 months
- Prior embolic event within the last 30 days
- NYHA class IV heart failure symptoms

## Exclusion Criteria Based on LAA Anatomy

- A LAA width > 40mm
- A superiorly oriented LAA with the LAA apex directed behind the pulmonary trunk
- Bi-lobed LAA or multi-lobed LAA in which lobes were oriented in different planes exceeding 40mm

#### **Clinical Characteristics and Stroke Risk**

Clinical		CHADS2-VASC score	
Age	$67\pm11$	1	22 (16%)
Male	85 (61%)	2	20 (14%)
Stroke risk Factor		3	22 (16%)
Heart Failure	32 (24%)	4	34 (25%)
Hypertension	127 (95%)	5	22 (17%)
Age>=75 yrs	41 (30%)	6	10 (7%)
Diabetes mellitus	31 (23%)	7	4 (3%)
Prior stroke or TIA	43 (32%)	8	2 (1%)
Vascular disease	29 (22%)	9	1 (1%)
Age 65 to 74 yrs	43 (32%)	Mean score=	$3.6\pm$ $1.8$
Female	54 (39%)	Has-Bled Score	
CHADS <sub>2</sub> score		0	1 (1%)
1	38 (27%)	1	19 (14%)
2	47 (34%)	2	40 (29%)
3	31 (22%)	3	42 (30%)
4	13 (9%)	4	26 (19%)
5	8 (6%)	5	11 (8%)
6	2 (1%)	Mean Has-Bled score	2.8±1.2
Mean CHADS <sub>2</sub> score=	2.4±1.2	Patients year of F/p	2.8 ±1.1
		Total Patients year of F/p	396

#### Eligible Patients N=143

Excluded at time of Procedure N= 4 (3%)

Adhesions N= 4

Patients Treated 139 (97%)

Successful Closure N=138 (99.3%) Incomplete Closure N= 1 (0.7%)

#### **Device Success**

- Successful acute closure (angiographic and color flow TEE full closure at end of procedure) 138/139 = 99%
  - One patient had an uncaptured lobe
- Residual Leak at 6 week TEE
  - 12 patients did not have follow up TEE
  - Less than 1mm leak: 114/127 (90%)
  - Leak 2-4 mm:
    13/127 (10%)
  - Leak greater than 5 mm: 0/127 (0%)

#### **LARIAT: Efficacy Rates**

	LARIAT 2014
Follow up	2.8+/-1.1 years
Patient-years	396
Death rate	1.8% (n=7)
Stroke rate	1.0% (n=4)
Death/Stroke/Systemic Embolism	2.8%

#### **Adverse Events**

#### Procedure related

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Chest pain
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- Pericardial effusion 1 (0.7%)
- Pulmonary embolus 1 (0.7%)
  - Periprocedural death1 (0.7%)
- Cardiac Perforation
  2 (1.4%)
  - Surgery2 (1.4%)

#### Inflammation related

- Pericarditis (>2 days) 8 (5.8%)
- Late Hemopericardium 1 (0.7%)
- Late Pericardial effusion 1 (0.7%)
- <u>LA thrombus</u> 2 (1.4%)

### LAA Ligation

- Feasible and effective LAA closure
- Acceptably low access complications and periprocedural adverse events
- Results support previous studies that exclusion of the LAA prevents cardioembolic strokes